

1741

TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT (Under 37 CFR 1.97(b) & 1.97(c))			Dock t No. JP9-1999-0277-US1	
In R Application Of: Nakamot and Yamada				
<div>OFFICE MAY 07 2002 PATENT &amp; TRADEMARK OFFICE</div> <div># 3 AS</div>				
Serial No. 09/681,712	Filing Date May 24, 2001	Examiner Unknown	Group Art Unit 1741 <div>6/6/02</div>	
Title: METAL PLATING APPARATUS AND PROCESS				
Address to: Assistant Commissioner for Patents Washington, D.C. 20231				
37 CFR 1.97(b)				
1. <input checked="" type="checkbox"/> The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.				
37 CFR 1.97(c)				
2. <input type="checkbox"/> The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of:				
<input type="checkbox"/> the statement specified in 37 CFR 1.97(e);				
OR				
<input type="checkbox"/> the fee set forth in 37 CFR 1.17(p).				

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# TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT

(Under 37 CFR 1.97(b) or 1.98(c))

Docket No.  
JP9-1999-0277-US1

In Re Application: Nakamot and Yamada

MAY 07 2002

Serial No.  
09/681,712

Filing Date  
May 24, 2001

Examiner  
Unknown

Group Art Unit  
1741

METAL PLATING APPARATUS AND PROCESS

## Payment of Fee

(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))

- ☐ A check in the amount of \_\_\_\_\_ is attached.
- ☒ The Assistant Commissioner is hereby authorized to charge and credit Deposit Account No. 09-0456 as described below. A duplicate copy of this sheet is enclosed.
- ☐ Charge the amount of \_\_\_\_\_
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I certify that this document and fee is being deposited April 26, 2002, with the U.S. Postal Service as first class mail under 37 C.F.R. 1.8 and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

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Robert A. Walsh

Signature

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Dated:

4/25/2002

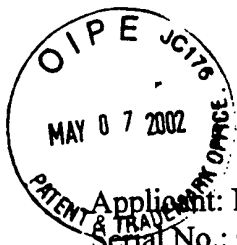
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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE  
PATENT APPLICATION

Applicant: Nakamoto and Yamada

Art Unit: 1741

Serial No.: 09/681,712

Examiner: Unknown

Filed: May 24, 2001

Atty. Docket: JP9-1999-0277-US1

Title: METAL PLATING APPARATUS AND PROCESS

INFORMATION DISCLOSURE STATEMENT  
UNDER 37 CFR 1.56, 1.97, 1.98

Honorable Commissioner of Patents and Trademarks  
Washington, D.C. 20231

Sir:

Applicants submit herewith form PTO-1449, listing patents, publications, or other information of which they are aware which they believe may be material to patentability pursuant to 37 CFR 1.56(b), and in respect of which there may be a duty to disclose under 37 CFR 1.56(a), together with legible copies of the patents, publications, or other information listed

While the items submitted with this Information Disclosure Statement may be material to patentability pursuant to 37 CFR 1.56, in accordance with 37 CFR 1.97(h) it shall not be construed to be an admission that any patent, publication, or other information cited is "prior art" or is material to patentability for this invention unless specifically designated as such. In accordance with 37 CFR 1.97(g), the filing of this Information Disclosure Statement shall not be construed to mean that a search has been made or that no other information material to patentability, as defined in 37 CFR 1.56(b), exists.

Respectfully submitted,

Date: 4/25/2002

By: Robert A. Walsh

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**INFORMATION DISCLOSURE CITATION**  
(Use several sheets if necessary)

Docket Number (Optional)

JP9-1999-0270001

Application Number

09/681712

Applicant(s)

J hji Nakamoto and Tatsuji Yamada

Filing Date

05/24/2001

Group Art Unit

1741

**U.S. PATENT DOCUMENTS**

*EXAMINER INITIAL	REF	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPR PRIATE

**FOREIGN PATENT DOCUMENTS**

	REF	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	Translation	
							YES	NO
		PUPA07-228992	29/08/1995	JAPAN				

**OTHER DOCUMENTS** (Including Author, Title, Date, Pertinent Pages, Etc.)


EXAMINER

DATE CONSIDERED

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP Section 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

\*\*\* Information Materials for **IDS 1\*\*202****APR 17 2002**To BUR ~~JP~~ IP Law Docket Number JP9-1999-0277 Date Mar./27/2002Prepared by Masanori Suzuki Date of JPO Office Action Mar./19/2002**Applied Art** (The following reference(s) were cited by JPO Examiner as Prior art to the following JP claims)

Ref.	Patent Document No. or Title	Publication Date (MM/DD/YY)	English abs. or counterpart document available (Y/N)	JP claim(s)
A				
B				
C				
D				
E				
F				

## &lt;NOTE&gt;

◇ PUPA : Published Unexamined Patent Application

◇ PUUMA : Published Unexamined Utility Model Application

◇ JP : Japanese Patent

◇ \* : Reference being filed before and published after the priority application date of the subject docket

◇ PEPA : Published Examined Patent Application

◇ PEUMA : Published Examined Utility Model Application

to be continued ☐**Background Art** (The following reference(s) were cited but not applied to the JP claims.)

Ref.	Patent Document No. or Title	Ref.	Patent Document No. or Title
bgA	PUPA07-228992	bgD	
bgB		bgE	
bgC		bgF	

Comment, if any :

to be continued ☐